



EBA/GL/2014/05 Appendix 1

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07 July 2014 – Updated 9 October  
2015

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GL/2014/05 + Appendix 1

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## Compliance Table - Guidelines

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Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines – EBA/GL/2014/05 on Guidelines on Significant Credit Risk Transfer – published on 7<sup>th</sup> July 2014.

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Yes	
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Yes	
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Yes	
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsufsicht (Federal Financial Supervisory Authority)	Yes	
EE	Estonia	Finantsinspektsioon (Financial Supervision Authority)	Yes	
IE	Ireland	Central Bank of Ireland	Yes	
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Yes	
HR	Croatia	National Bank of Croatia	No	Credit institutions in Croatia are not involved in the securitisation transactions so far.

Member State		Competent authority	Complies or intends to comply	Comments
ES	Spain	Banco de España (Bank of Spain)	Yes	
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Yes	
IT	Italy	Banca d'Italia (Bank of Italy)	Yes	
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Yes	
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Yes	
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Yes	
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	Yes	
HU	Hungary	Magyar Nemzeti Bank (National Bank of Hungary)	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		Hungary)		
MT	Malta	Malta Financial Services Authority	Yes	Updated – 09.10.2015
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	Yes	
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Yes	
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Yes	Within the current legal framework in relation to investment firms
PT	Portugal	Banco de Portugal (Bank of Portugal)	Yes	
RO	Romania	Banca Națională a României (National Bank of Romania)	Yes	
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	Yes	
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	No response	The CA failed to provide the notification of compliance

Member State		Competent authority	Complies or intends to comply	Comments
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	Yes	
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	Yes	
UK	United Kingdom	Prudential Regulation Authority (Bank of England)/PRA	Yes	
		Financial Conduct Authorities (FCA)	Yes	
		Financial Services Commission (Gibraltar)	Yes	
EEA-EFTA State				
IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	Partial	Regulation (EU) No 1093/2010 is yet to be incorporated into the EEA Agreement, due to a constitutional interpretation according to which, all three ESA's regulations cannot be implemented into Icelandic law. According to this interpretation the powers granted to EBA, as well as EIOPA and ESMA, are in breach with provisions in the Icelandic constitution, and the EEA Agreement does not allow for such interference with the Icelandic state's sovereignty. Until the EBA regulation has been implemented into Icelandic law, the Financial Supervisory Authority, Iceland is under no legal obligation to publish EBA's guidelines or comply with them. Until this situation has been resolved, the Financial Supervisory Authority, Iceland will consider, with regard to each separate guideline, whether it will comply on a voluntary basis.

Member State		Competent authority	Complies or intends to comply	Comments
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	Yes	<p>The Financial Market Authority Liechtenstein (FMA) as the competent authority in charge of the national implementation of financial market related regulations acknowledges the publication of these Guidelines (EBA/GL/2014/05).</p> <p>Currently, Liechtenstein is only admitted to the Board of Supervisors as observer and is not vested with any voting rights under the EBA Regulation No. 1093/2010. Nevertheless, the FMA will comply with these Guidelines (EBA/GL/2014/05) considering the time frame of the CRD IV/CRR legislation in Liechtenstein. Currently, there exists no legal act concerning securitisation for credit institutions besides the European regulation in Liechtenstein.</p>
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Yes	Response dated 08/07/2015

## Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.eba.europa.eu](http://www.eba.europa.eu)).